PTO-1390 (Rev. 07-2005)

Approved for use through 3/31/2007. OMB 0651-0021

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| TRANSMITTAL LETTER TO THE UNITED STATES  ATTORNEY'S DOCKET NUMBER   |             |   |   |                              |   |  |  |  |  |  |  |  |
|---|-------------|---|---|------------------------------|---|--|--|--|--|--|--|--|
|   | DES         | SIGNATED/ELECTED OFFIC  | E (DO/EO/US)  | 095309.58113US               |   |  |  |  |  |  |  |  |
|   | <u> </u>    | ERNING A SUBMISSION UNI   |   | ,                            | NO. (If known, see 3 (FR 1/5) to 8 (S) Igred  |  |  |  |  |  |  |  |
| INTE  |             | PCT/EP2004/014268   | INTERNATIONAL FILING  | G DATE<br><b>er 15, 2004</b> | PRIORITY DATE CLAIMED February 17, 2004       |  |  |  |  |  |  |  |
| TITL  |             | VENTION   | Decembe   | 13, 2004                     | 1 ebidaly 11, 2004                            |  |  |  |  |  |  |  |
| STOP DEVICE FOR A SPINDLE DRIVE   |             |   |   |                              |   |  |  |  |  |  |  |  |
| APPLICANT(S) FOR DO/EO/US  Jens BOHLEN, et al.  |             |   |   |                              |   |  |  |  |  |  |  |  |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: |             |   |   |                              |   |  |  |  |  |  |  |  |
| 1.  | $\boxtimes$ | This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.  |   |                              |   |  |  |  |  |  |  |  |
| 2.  |             | This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.   |   |                              |   |  |  |  |  |  |  |  |
| 3.  | $\boxtimes$ | This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.                                   |   |                              |   |  |  |  |  |  |  |  |
| 4.  | $\boxtimes$ | The US has been elected (Article 31).   |   |                              |   |  |  |  |  |  |  |  |
| 5.  | $\boxtimes$ | A copy of the International Application as filed (35 U.S.C. 371(c)(2))  |   |                              |   |  |  |  |  |  |  |  |
|   |             | a.  is attached hereto (required only if not communicated by the International Bureau).   |   |                              |   |  |  |  |  |  |  |  |
|   |             | b. An has been communicated by the International Bureau.  |   |                              |   |  |  |  |  |  |  |  |
|   |             | c.  | pplication was filed in the   | United States Receiv         | ing Office (RO/US).                           |  |  |  |  |  |  |  |
| 6.  | $\boxtimes$ | An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).  |   |                              |   |  |  |  |  |  |  |  |
|   |             | a. X is attached hereto.  |   |                              |   |  |  |  |  |  |  |  |
|   |             | b. has been previously sul  | bmitted under 35 U.S.C. 15  | 54(d)(4).                    |   |  |  |  |  |  |  |  |
| 7.  |             | Amendments to the claims of the In  | ternational Application und   | ler PCT Article 19 (35       | 5 U.S.C. 371(c)(3))                           |  |  |  |  |  |  |  |
|   |             | a. are attached hereto (required only if not communicated by the International Bureau).   |   |                              |   |  |  |  |  |  |  |  |
|   |             | b. have been communicated by the International Bureau.  |   |                              |   |  |  |  |  |  |  |  |
| ļ   |             | c. have not been made; he   | owever, the time limit for m  | aking such amendme           | such amendments has NOT expired.              |  |  |  |  |  |  |  |
| ,   |             | d. have not been made and will not be made.   |   |                              |   |  |  |  |  |  |  |  |
| 8.  |             | An English language translation of  | the amendments to the cla   | ims under PCT Article        | s under PCT Article 19 (35 U.S.C. 371(c)(3)). |  |  |  |  |  |  |  |
| 9.  |             | An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).  |   |                              |   |  |  |  |  |  |  |  |
| 10.   | $\boxtimes$ | An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).  |   |                              |   |  |  |  |  |  |  |  |
|   | Item        | s 11 to 20 below concern document(s) or information included:   |   |                              |   |  |  |  |  |  |  |  |
| 11.   | $\boxtimes$ | An Information Disclosure Statement under 37 CFR 1.97 and 1.98, Form PTO-1449, International Search Reported dated April 7, 2005 and PCT/ISA/237 (twelve (12) pages) and 5 cited non-US references. |   |                              |   |  |  |  |  |  |  |  |
| 12.   |             | An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.   |   |                              |   |  |  |  |  |  |  |  |
| 13.   | $\boxtimes$ | A preliminary amendment and Abstract (seven (7) pages).   |   |                              |   |  |  |  |  |  |  |  |
| 14.   | $\boxtimes$ | An Application Data Sheet under 37 CFR 1.76 (six (6) pages).  |   |                              |   |  |  |  |  |  |  |  |
| 15.   | $\boxtimes$ | A substitute specification (clean and   | d marked-up copy thereof).  |                              |   |  |  |  |  |  |  |  |
| 16.   |             | A power of attorney and/or change   | of address letter.  |                              |   |  |  |  |  |  |  |  |
| 17.   |             | A computer-readable form of the se  | A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. |                              |   |  |  |  |  |  |  |  |
| 18.   |             | A second copy of the published International Application under 35 U.S.C. 154(d)(4).   |   |                              |   |  |  |  |  |  |  |  |
| 19.   |             | A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).   |   |                              |   |  |  |  |  |  |  |  |

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Other items or information: One (1) sheet of Drawings showing Figures 1a, 1b and 2; PCT/IB/308(Second and Supplementary Notice)

20.

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PTO-1390 (Rev. 02-2005)

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| U.S. APPLICATION NO. (if known is 637 CFR/1.5) INTERNATIONAL APPLICATION NO.  PCT/EP2004/014268   |  |  |  |                                     |             | ATTORNEY'S DOCKET NUMBER |                       |  |  |  |  |  |  |  |
|---|--|--|--|-------------------------------------|-------------|--------------------------|-----------------------|--|--|--|--|--|--|--|
| <u></u>   |  | <u> </u>   |  | 004/014268                          |             | 095309.5                 | <del></del>           |  |  |  |  |  |  |  |
|   | following fees ha  |  |  | <b>\$300.00</b>                     |             | CALCULATIONS<br>\$200.00 | PTO USE ONLY          |  |  |  |  |  |  |  |
|   |  |  | 3))  | 4300.00                             |             | \$300.00                 |                       |  |  |  |  |  |  |  |
|   | 22. Examination fee (37 C.F.R. §1.492(c))  If the written opinion prepared by ISA/US or the international preliminary              |  |  |                                     |             |                          |                       |  |  |  |  |  |  |  |
| •   | , ,  |  | cates all claims satisfy   |                                     |             |                          |                       |  |  |  |  |  |  |  |
|   | f PCT Article 33(  |  |  |                                     |             |                          |                       |  |  |  |  |  |  |  |
|   |  | <del></del>  |  | \$200.00                            |             | \$200.00                 | ··-                   |  |  |  |  |  |  |  |
|   | rch fee (37 C.F.R  |  |  |                                     |             |                          |                       |  |  |  |  |  |  |  |
| If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT |  |  |  |                                     |             |                          |                       |  |  |  |  |  |  |  |
| report prepared by IPEA/US indicates all claims satisfy provisions of PCT  Article 33(1)-(4)\$0   |  |  |  |                                     |             |                          |                       |  |  |  |  |  |  |  |
| · · · · · · · · · · · · · · · · · · ·   |  | -  | the international applica  |                                     |             |                          |                       |  |  |  |  |  |  |  |
|   |  | _  | hority<br>her than the US and prov   |                                     |             |                          |                       |  |  |  |  |  |  |  |
|   |  | •  | US by the IB   |                                     |             |                          |                       |  |  |  |  |  |  |  |
| All other situation   |  | y .  |  | \$500                               |             | \$400.00                 |                       |  |  |  |  |  |  |  |
|   | TOTAL  | OF 21, 22 AND  | 23 =   | ·                                   |             | \$900.00                 |                       |  |  |  |  |  |  |  |
| _   | •  | <del>_</del>   | iled in paper over 100 sh  |                                     |             |                          |                       |  |  |  |  |  |  |  |
| <b>∀</b>  |  |  | iled in an electronic med<br>r fraction thereof.   | ium). The fee is                    |             |                          |                       |  |  |  |  |  |  |  |
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| 9 - 100 / 50 =  |  |  | 0  | × 25                                |             | \$                       |                       |  |  |  |  |  |  |  |
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| CLAIMS  |  | BER FILED  | NUMBER EXTRA   | RATE                                |             | <b>V</b> 100100          |                       |  |  |  |  |  |  |  |
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| <del> </del>  | ENDENT CLAIM(  |  |  | + 30                                |             | \$                       |                       |  |  |  |  |  |  |  |
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| Applicant cla   | ime emall antity s   | Ψ1030.00   |  |                                     |             |                          |                       |  |  |  |  |  |  |  |
| Applicant cla   | inis sinail chilly s   | status. See S7 C1  | R 1.27. Fees above are   | SUBTOT                              | TAL =       | \$                       |                       |  |  |  |  |  |  |  |
| Processing fee o  | f <b>\$130.00</b> for fum  | ishing the Englis  | h translation later than 3   |                                     |             | <u> </u>                 |                       |  |  |  |  |  |  |  |
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|   |  |  | TO   | TAL NATIONAL F                      | EE =        | \$1030.00                |                       |  |  |  |  |  |  |  |
|   |  |  | R 1.21 (h)). The assignm   |                                     |             | e                        |                       |  |  |  |  |  |  |  |
| accompanied by  | an appropriate co  | over sneet (37 C   | FR 3.28, 3.31). <b>\$40.00</b> p   | AL FEES ENCLOS                      |             | \$1030.00                |                       |  |  |  |  |  |  |  |
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| a. LA chec  | k in the amount o  | of \$ to cover the   | ne above fees is enclose   | d.                                  |             |                          |                       |  |  |  |  |  |  |  |
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|   | licate copy of th  |  |  |                                     | •           |                          |                       |  |  |  |  |  |  |  |
| c. 🛭 The Co   | nmmissioner is he  | ereby sutborized   | to charge any additional   | fees which may be                   | e requir    | ed or credit any overna  | ment to Denosit       |  |  |  |  |  |  |  |
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|   |  |  |  |                                     |             |                          |                       |  |  |  |  |  |  |  |
| and granted to restore the International Application to pending status.   |  |  |  |                                     |             |                          |                       |  |  |  |  |  |  |  |
| and granted to  | ın appropriate ti  | me limit under 3   | 37 CFR 1.495 has not be  | een met, <u>a</u> petitior          |             |                          | r (b)) must be filed  |  |  |  |  |  |  |  |
|   | an appropriate ti<br>restore the Inter   | me limit under 3<br>national Applica                         | 37 CFR 1.495 has not be  | een met, <u>a</u> petitior          |             |                          | (b)) must be filed    |  |  |  |  |  |  |  |
| SEND ALL COR  | an appropriate tirestore the Inter   | me limit under 3<br>national Applica                         | 37 CFR 1.495 has not be  | een met, <u>a</u> petitior          |             |                          | (b)) must be filed    |  |  |  |  |  |  |  |
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| SEND ALL COR<br>Crowe<br>Intelled   | n appropriate timestore the International RESPONDENCE  | me limit under 3<br>national Applica<br>TO:                  | 37 CFR 1.495 has not be  | SIGNATURE<br>James F. McKe          | n to rev    |                          | (b)) must be filed    |  |  |  |  |  |  |  |
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| SEND ALL COR<br>Crowe<br>Intelled<br>P.O. B<br>Washi<br>Tel. No   | RESPONDENCE May a Moring, LLP Ctual Property Gro Nox 14300 Respondence Con (202) 624-2500  | me limit under 3<br>national Applica<br>TO:<br>oup<br>4-4300 | 37 CFR 1.495 has not be  | SIGNATURE<br>James F. McKe          | n to rev    | rive (37 CFR 1.137(a) o  | r (b)) must be filed  |  |  |  |  |  |  |  |
| SEND ALL COR<br>Crowe<br>Intelled<br>P.O. B<br>Washi<br>Tel. No   | RESPONDENCE Moring, LLP ctual Property Gre ox 14300 ngton, D.C. 2004   | me limit under 3<br>national Applica<br>TO:<br>oup<br>4-4300 | 37 CFR 1.495 has not be  | SIGNATURE James F. McKe NAME 25,406 | own         | rive (37 CFR 1.137(a) o  | r (b)) must be filed  |  |  |  |  |  |  |  |